

April 2022

5. ADDITIONAL KYC DETAILS (Refer instruction 4b)

Occupation details for	1 st Applicant	2 nd Applicant	3 rd Applicant	Guardian	Politically Exposed Person (PEP) details:	Is a PEP	Related to PEP	Not Applicable
Private Sector Service	☐	☐	☐	☐	1 st Applicant	☐	☐	☐
Public Sector Service	☐	☐	☐	☐	2 nd Applicant	☐	☐	☐
Government Service	☐	☐	☐	☐	3 rd Applicant	☐	☐	☐
Business	☐	☐	☐	☐	Guardian	☐	☐	☐
Professional	☐	☐	☐	☐	Authorised Signatories	☐	☐	☐
Agriculturist	☐	☐	☐	☐	Promoters	☐	☐	☐
Retired	☐	☐	☐	☐	Partners	☐	☐	☐
Housewife	☐	☐	☐	☐	Karta	☐	☐	☐
Student	☐	☐	☐	☐	Whole-time Directors	☐	☐	☐
Proprietorship	☐	☐	☐	☐	Trustee	☐	☐	☐
Others (Please specify)								

Non-Individual Investors involved/ providing any of the mentioned services

☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery / Casino Services

☐ Money Lending / Pawning ☐ None of the above

Gross Annual Income Range (in Rs.)	1 st Applicant	2 nd Applicant	3 rd Applicant	Guardian	Gross Annual Income Range (in Rs.)	1 st Applicant	2 nd Applicant	3 rd Applicant	Guardian
Below 1 lac	☐	☐	☐	☐	10-25 lac	☐	☐	☐	☐
1-5 lac	☐	☐	☐	☐	25 lac- 1 cr	☐	☐	☐	☐
5-10 lac	☐	☐	☐	☐	> 1 cr	☐	☐	☐	☐

OR Networth in Rs. (Mandatory for Non Individual) (not older than 1 year)

as on DD MM YYYY

Please attach Proof. Refer instruction No 16 for PAN/PEKRN and No 18a for KYC (KRA). Refer instruction No 18b for KYC Identification Number issued by CKYCR.

6. FATCA AND CRS INFORMATION (for Individual including Sole Proprietor) (Self Certification) (Refer instruction 4)

The below information is required for all applicant(s)/ guardian

Address Type: ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office (for address mentioned in form/existing address appearing in Folio)

	First Applicant (including Minor)	Second Applicant/ Guardian	Third Applicant
Is the applicant(s)/guardian's Country of Birth/Citizenship/ Nationality/Tax Residency other than India?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No

If Yes, please provide the following information [mandatory]

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

Category	First Applicant (including Minor)	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency#			
Tax Payer Ref. ID No ^			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 2			
Tax Payer Ref. ID No. 2			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 3			
Tax Payer Ref. ID No. 3			
Identification Type [TIN or other, please specify]			

#To also include USA, where the individual is a citizen/ green card holder of USA. ^ In case Tax Identification Number is not available, kindly provide its functional equivalent.

7. POWER OF ATTORNEY (PoA) HOLDER DETAILS

Name of PoA Mr. Ms. M/s. PAN# / PEKRN# KYC Number KYC # [Please tick (✓)] (Mandatory) ☐ Proof Attached

Please attach Proof. Refer instruction No 16 for PAN/PEKRN and No 18a for KYC (KRA). Refer instruction No 18b for KYC Identification Number issued by CKYCR.

8. BANK ACCOUNT DETAILS OF THE FIRST / SOLE APPLICANT (For redemption/ IDCW Payments if any) (refer instruction 5)

(Mandatory to attach proof, in case the pay-out bank account is different from the bank account mentioned under Section 10 below.)

For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here.

Bank Name Branch Name Account Number MICR Code Account Type (Please ✓) ☐ Savings ☐ Current ☐ NRO ☐ NRE ☐ FCNR ☐ Others (please specify) IFSC Code***

Bank City

(The 9 digit code appears on your cheque next to the cheque number)

*** Refer Instruction 5C (Mandatory for Credit via NEFT / RTGS) (11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, please check for the same with your bank)

Particulars

Scheme Name / Plan / Option / Sub-option / Payout Option	Cheque / DD / Payment Instrument / UTR No. / Date	Drawn on (Name of Bank and Branch)	Amount in figures (Rs.)

Please Note: All Purchases are subject to realisation of cheques / demand drafts / Payment Instrument.

9. MODE OF PAYMENT OF REDEMPTION / IDCW PROCEEDS (refer instruction 11)

I / We would like to receive redemption / IDCW proceeds :

☐ directly into my / our bank account (as per Section 8) (Default mode) OR ☐ via cheque / demand draft**10. INVESTMENTS & PAYMENT DETAILS** [Please (✓)] (refer instruction 6 & 7 for Scheme details and instruction 8 & 9 for Payment Details) The name of the first/ sole applicant must be pre-printed on the cheque.☐ **Regular Plan** (Purchase/ Subscription routed through Distributor)

Mention valid ARN in Key Partner/ Agent Information

☐ **Direct Plan** (Purchase/ Subscription made directly with the Fund)Mention **DIRECT** in Key Partner/ Agent Information**Scheme/Plan/Sub Option/ Frequency**☐ **Growth Option** ☐ **Income Distribution cum Capital Withdrawal (IDCW) Option**

(The amounts can be distributed out of investor's capital (Equalization Reserve), which is part of sale price that represents realized gains.)

☐ **Payout**☐ **Reinvestment****Mode of Payment**☐ **Cheque**☐ **Demand Draft**☐ **NEFT/ RTGS/ Fund Transfer**☐ **One Time Mandate (OTM)**

Please note that OTM can be selected as mode of payment provided OTM is already registered. In case OTM is not registered please fill in the attached OTM Debit Mandate to make future transactions via OTM

Payment Type [Please (✓)]☐ **Non-Third Party Payment**☐ **Third Party Payment** (Please attach 'Third Party Payment Declaration Form')

Drawn on Bank / Branch

Pay-In Bank Account No.
(For Cheque Only)Cheque/ DD/
Payment Instrument/
UTR No.Cheque/ DD/
Payment Instrument/
UTR DateAmount of Cheque / DD /
Payment Instrument /
RTGS/ NEFT in figures (Rs.)DD Charges,
if anyNet Cheque/ DD
Amount

Cheque/ DD Amount (in words):

11. UNIT HOLDING OPTION☐ **DEMAT MODE***☐ **PHYSICAL MODE (Default)**

(refer instruction 13)

*Demat Account details are mandatory for (i) FPIs and (ii) investors who wish to hold the units in Demat Mode (Account statement (CAS) for units held in demat mode will be issued only by NSDL/CDSL)

NSDL **DP Name** **DP ID** **I** **N** **Beneficiary Account No.****CDSL** **DP Name** **Beneficiary Account No.**

*Investor opting to hold units in demat form, may provide a copy of the DP statement for us to match the demat details as stated in the application form.

12. NOMINATION (refer instruction 15) (Mandatory for new folios of Individuals and Sole Proprietors where mode of holding is single) (For Units in Non-Demat Form)☐ I/We wish to nominate as under: **OR** ☐ I/We do not wish to Nominate (Sole applicant's signature mandatory)

Name and Address of Nominee(s) (IN CAPITALS)	PAN	Relationship of Guardian with Nominee (Proof to be attached)	Date of Birth (to be furnished in case the Nominee is a minor)	Name and Address of Guardian	Signature of Nominee (Optional)/ Guardian of Nominee (Mandatory)	Proportion (%) in which the units will be shared by each Nominee (should aggregate to 100%)
Nominee 1						
Nominee 2						
Nominee 3						

Proof of Relationship of Guardian with Minor: ☐ Birth Certificate ☐ School Leaving Certificate ☐ Passport ☐ Others☐ I/We have read and understood the instructions on nomination and I/We hereby undertake to abide by the same. The instructions contained herein supercedes all previous nominations made by me/us in respect of the folio(s) mentioned above.POA holder cannot
nominate. So sole/all joint
applicants must sign

First / Sole Applicant

Second Applicant

Third Applicant

13. DECLARATION & SIGNATURE/S (refer instruction 14)

I/We have read, understood the terms and conditions of the scheme related documents of the respective scheme(s) and agree to comply with the same as an Unitholder. I/We hereby apply to the Trustees for allotment of Units of the Scheme(s) of HDFC Mutual Fund ("Fund") and confirm and declare as under:

(a) I/We am/are eligible Investor(s) as per the scheme related documents and not prohibited by any order/ruling/judgment passed by SEBI/ Statutory Authority or Courts in India and Foreign laws. I am/We are authorised to make this investment as per the Constitutive documents/ authorization(s). The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India.

(b) I/We will be bound by the Fund's terms and conditions as amended from time to time.

(c) The information given by me /us in or along with this application form is true and correct and I/we shall furnish such other further/additional information as may be required by the HDFC Asset Management Company Limited (AMC)/ Fund. I/We undertake to promptly inform the AMC / Fund/Registrars and Transfer Agent (RTA) in writing about any change in the information furnished by me/us from time to time.

(d) I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us.

(e) I/We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA/Portfolio Managers/Stock Broker registered in the concerned folio, if applicable.

(f) I/We shall be liable and responsible for any loss, claims suffered, directly or indirectly by AMC/ Fund/ RTA/ SEBI intermediaries, arising out of any false, misleading, inaccurate and incomplete information furnished by me/us at the time of investing/redeeming the units. I/We hereby unconditionally and irrevocably indemnify and at all time keep indemnified, save and harmless AMC/Fund/ trustee and their officers, directors and employees against all actions, proceedings, claims, losses, damages, charges and expenses incurred or suffered /paid by AMC/Fund in this regard and in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.

(g) The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

(h) **I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY THE FUND/AMC/ITS DISTRIBUTOR FOR THIS INVESTMENT.****Consent for Telemarketing (Refer Instruction 20):**

I/We hereby accord my/our consent to HDFC AMC for receiving the promotional information/ material via email, SMS, telemarketing calls etc. on the mobile number and email provided by me/us in this Application Form.

Consent for disclosure of Personal Information in terms of Privacy PolicyI/We hereby confirm to have read, understood and agree to the terms of Privacy Policy (available on <https://www.hdfcfund.com>) ("Policy") of HDFC AMC/Fund.

I/We hereby accord my/our consent to HDFC AMC/Fund for collecting, receiving, possessing, storing, dealing, handling or disclosure of my/ our Personal Data and hereby authorize to disclose it to the third party or another body corporate or any person acting under a lawful contract with HDFC AMC, in accordance with the Privacy Policy.

For Foreign Nationals Resident in India only:

I/We will redeem my/our entire investment/s before I/We change my/our Indian residency status. I/We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

For NRIs/ PIO/ OCIs/ FPIs only:

I/We confirm that my application is in compliance with applicable Indian and foreign laws.

For NRIs/ PIO/OCIs Please (✓) ☐ Repatriation basis ☐ Non-repatriation basis**SIGN HERE**(Please write Application Form No. / Folio No.
on the reverse of the Cheque / Demand Draft /
Payment Instrument.)First / Sole
Applicant /
Guardian**SIGN**Second
Applicant**SIGN**Third
Applicant**SIGN****SIGNATURE(S)**

April 2022