FORM FOR NOMINATION/DECLARATION FOR OPTING OUT OF NOMINATION

(To be filled in by individual(s) applying singly or jointly, Please read the instructions carefully before filling up the Form)

Date

FOLIO DETAILS

Folios Number/s	
Sole/First Holder Name	
Second Holder Name	
Third Holder Name	

☐ **NOMINATIONS**

I/We understand that in the event of death of sole or all the joint holders, all rights to the units shall vest to the sole nominee who survives me/us, & if investment in percentage is allocated to two/three nominees as may be specified by me/ us, then the rights shall vest to the two/three nominees listed below in percentage as allocated (and stated below) & who survive me/us. In case the percentage of investment is not specified by me/us then UTI AMC shall settle the claim equally amongst all the nominees. If the registration of nomination is delayed or cannot be effected at all due to the reasons of incomplete or incorrect information or signature mismatch of the unitholders with the signatures recorded with UTI AMC or otherwise, I/We shall not hold UTI AMC responsible and liable. Any payment to the nominee (s) of the redemption amount or any dividend distribution amount etc., shall fully discharge UTI AMC from all liability towards my/ our estate & my/our successor(s)/legal heir (s)

NOMINEE DETAILS

Details	First Nominee	Second Nominee	Third Nominee
Name (Mr/Mrs/Ms)			
Relation			
Date of Birth (in case of Minor)	DD / MM / YYYY	DD / MM / YYYY	DD / MM / YYYY
Guardian's Name (in case of Minor)			
Guardian's Relationship with Nominee			
Address of the nominee (including City, Pin and State)			
PAN / AADHAAR Nominee/Minor/Guardian (Last 4 Digits of Aadhaar)			
Address of the guardian (including City, Pin and State)			
Signature of Guardian/Nominee			
% of Investment Allocaiton (Should aggregate to 100%)			

Proof of relationship : Birth Certificate, School Leaving Certificate, Passport & Other

☐ **DECLARATION FOR OPTING OUT OF NOMINATION**

I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in nonappointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

DECLARATION AND SIGNATURE OF UNITHOLDER/S (TO BE SIGNED AS PER THE MODE OF HOLDING)

I/We have read the terms and instructions as mentioned in the nomination form & agree to abide by them for registration of the nominee(s) by UTIAMC. The nomination shall remain in full force and effect until cancelled or varied by me/us in writing.

SIGNATURE OF UNITHOLDER(S)

Signatrue of Sole / 1st holder	Signatrue of 2nd holder	Signatrue of 3rd holder

Instructions

1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.

2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder *cannot* nominate.

3. Nomination is not allowed in a folio of a Minor unitholder.

4. If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis).

5. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.

6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.

7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.

8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.

9. **Multiple Nominees**: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.

10. Every new nomination for a folio/account shall overwrite the existing nomination, if any.

11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.

12. Nomination shall stand rescinded upon the transfer of units.

13. **Death of Nominee/s**: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.

14. **Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).**

15. **Cancellation of Nomination:** Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.

16. Unitholders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.

17. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.

18. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

*****